



FLORIDA ATLANTIC UNIVERSITY

Foundation Board

<p>POLICY NUMBER 2</p>	<p>EFFECTIVE DATE 5/6/2026</p>
<p>SUBJECT Code of Conduct</p>	<p>HISTORY Replaces 06/01/2014; 10/19/2005</p>

PURPOSE

Officers, directors, and employees of the Florida Atlantic University Foundation, Inc. have a fiduciary relationship with the Foundation. They must practice honesty and integrity in fulfilling their responsibilities and act in good faith with due regard to the interests of the Foundation.

APPLICABILITY

The Foundation Code of Conduct (hereinafter referred to as the Code) applies to officers, directors, volunteers, and employees of the Foundation (hereinafter referred to as Representatives).

POLICY

Representatives shall comply with the ethical principles adopted in this policy and the Foundation's Conflict of Interest Policy, as well as with the general standards for directors as set forth in section 617.0830 of the Florida Statutes and the conduct requirements for directors of State University System direct support organizations set forth in Section 112.3251, Florida Statutes.

1. Representatives have a responsibility to act in the best interest of the Foundation they

2. serve and must put their duty to the Foundation before their individual and private interests.
3. Representatives shall not engage in activities that conflict with their fiduciary, ethical, or legal obligations to the Foundation.
4. Representatives shall effectively disclose all potential and actual conflicts of interest with the Foundation.
5. Representatives shall not exploit any relationship with a donor, prospect, volunteer, or employee for personal benefit, for the personal benefit of members of the Foundation, or for the personal benefit of personnel of the University it serves.
6. Representatives shall respect the confidentiality of information acquired in the course of doing Foundation business except when authorized or otherwise legally obligated to disclose.
7. Representatives shall not make promises on behalf of the Foundation that compromise the Foundation's operational policies or standards.
8. Representatives shall abide by and adhere to all IRS regulations regarding the acceptance of donations, including any quid pro quo restrictions.
9. Representatives shall comply with applicable rules and regulations of federal, state, provincial, and local governments, and other appropriate private and public regulatory agencies.
10. Representatives shall proactively promote ethical behavior as a responsible party when representing the Foundation within the University or in the community.
11. Representatives shall report known or suspected violations of this Code in accordance with the Foundation's Whistleblower Policy.
12. Representatives shall abide by the ethical principles set forth by the Council for Advancement and Support of Education (CASE) in its Statement of Ethics, which is attached and available at <https://www.case.org/resources/case-statement-ethics>.

RESPONSIBLE AUTHORITY

Questions about this policy should be directed to the Foundation CEO.

FAU FOUNDATION

For signature of the Foundation's secretary

Approved by the Executive Committee on the 6th day of May, 2026.

DocuSigned by:

Cheryl Wilke

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Secretary, Florida Atlantic University Foundation, Inc.

CASE Statement of Ethics

Author(s): CASE Board of Trustees

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Institutional advancement professionals, by nature of our responsibilities within the academic community, represent our schools, colleges, and universities to the larger society. We have, therefore, a duty to exemplify the best qualities of our institutions and to observe the highest standards of personal and professional conduct.

We conduct ourselves in a manner which is consistent with the best interests of the institution we represent.

Our words and actions embody respect for truth, fairness, free inquiry, and the opinions of others.

We promote the merits of our institutions, and of education generally, respecting both our colleagues and the mission of each institution.

We respect, celebrate, and include all individuals regardless of race, gender, sexual orientation, ethnicity, nationality, physical ability, or age. We uphold the professional reputation of colleague advancement professionals and give credit for ideas, words, or images originated by others.

We communicate clearly and fairly with others, remaining mindful of the importance of representing our institution's mission and interests.

We safeguard privacy rights and confidential information.

We do not grant or accept gifts or services for personal or individual professional gain, nor do we solicit or accept gifts or services for our institutions in which a higher public interest would be violated.

We avoid actual or apparent conflicts of interest and, if in doubt, seek guidance regarding how to proceed.

We report transgressions and/or unethical activities to the appropriate authority in order that the institution take the necessary action.

We bring abusive and/or harassing conduct to the attention of institutional leadership in order that individuals who have been subject to such abuse are protected.

We follow the letter and spirit of pertinent laws and regulations, understanding that those strictures might be different in other countries and cultures.

We align the policies and procedures of our department with those of other departments, in order that the institution can have a holistic and integrated approach to ethical conduct.

We observe these standards and others that apply to our profession and actively encourage colleagues to join us in supporting the highest standards of conduct.

The CASE Board of Trustees adopted this Statement of Ethics to guide and reinforce our professional conduct. The Board adopted the final text on 12 March 2020. This Statement is meant to align with the CASE Principles of Practice for the professional areas as well as the CASE Zero Tolerance Pledge.