



SUBJECT: Code of Conduct Responsible Authority: Asst. VP Finance and Administration, FAU Foundation, Inc. Scope: Sets forth standards of conduct for representatives of the foundation	Effective Date: 06/01/2014	Policy Number: 2	
	Supersedes: 10/19/2005	Page 1	Of 2

The Florida Atlantic University Foundation, Inc. Code of Conduct (hereinafter referred to as the Code) applies to officers, directors, volunteers, and Foundation employees (hereinafter referred to as Representatives). These Representatives have a fiduciary relationship with the Foundation and must practice honesty and integrity in fulfilling their responsibilities and shall act in good faith with due regard to the interests of the Foundation. Representatives shall comply with the ethical principles adopted in this policy and the Foundation’s Conflict of Interest Policy, as well as with the general standards for directors as set forth in section 617.0830 of the Florida Statutes.

1. Representatives of the Foundation have a responsibility to act in the best interest of the Foundation they serve and must put their duty to the Foundation before their individual and private interests.
2. Representatives shall not engage in activities that conflict with their fiduciary, ethical, and legal obligations to the Foundation.
3. Representatives shall effectively disclose all potential and actual conflicts of interest with the Foundation.
4. Representatives shall not exploit any relationship with a donor, prospect, volunteer, or employee for personal benefit, for the benefit of members of the Foundation, or for the private benefit of personnel of the University it serves.
5. Representatives shall respect the confidentiality of information acquired in the course of doing Foundation business except when authorized or otherwise legally obligated to disclose.
6. Representatives shall not make promises on behalf of the Foundation that compromise the Foundation’s operational policies and standards.

7. Representatives shall abide by and adhere to all IRS regulations regarding the acceptance of donations, including any quid pro quo restrictions.
8. Representatives shall comply with applicable rules and regulations of federal, state, provincial, and local governments, and other appropriate private and public regulatory agencies.
9. Representatives shall proactively promote ethical behavior as a responsible party when representing the Foundation within the University or in the community.
10. Representatives shall report known or suspected violations of this Code in accordance with the Foundation's Whistleblower Policy.
11. Representatives shall abide by the ethical principles set forth by the Council for Advancement and Support of Education (CASE) in its Statement of Values and Code of Ethics for Nonprofit and Philanthropic Organizations, attached. View it [here](http://www.case.org/Documents/Principles%20of%20Practice/Ind_Sector_Ethics_Code.pdf).
(http://www.case.org/Documents/Principles%20of%20Practice/Ind_Sector_Ethics_Code.pdf)

Printed Name

Signature

Date

FAU Foundation

Initiating Authority:
Chief Executive Officer
Signature: _____
Name: Danita D. Nias

Date: _____

12/9/16

Chairman
Signature: _____
Name: Patricia McKay

Date: _____

12/9/16

Asst. VP Finance and Administration
Signature: _____
Name: Sharon Brown

Date: _____

12/9/16